

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/973,479 | 10/08/2001 | Peter Hartmaier | 51410/P001CP1C1CP1/101053 |

CONFIRMATION NO. 5315

FORMALITIES LETTER



OC000000007074533

FULBRIGHT & JAWORSKI L.L.P.
Michael J. Fogarty, III
Suite 2800
2200 Ross Avenue
Dallas, TX 75201

Date Mailed: 11/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

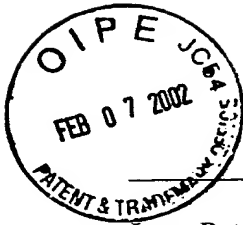
- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/08/2002 JADJ01 00000036 09973479
01 FC:101 740.00 00
02 FC:102 336.00 00
03 FC:103 108.00 00
04 FC:105 130.00 00



Docket No.: 51410/P001CP1C1CP1/10105303
(PATENT) #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Peter Hartmaier, et al.

Application No.: 09/973,479
Filed: October 8, 2001
Group Art Unit: 2681
Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 01/15/02 Signature: Eileen Cortez
(Eileen Cortez)

For: MOBILITY EXTENDED TELEPHONE
APPLICATION PROGRAMMING
INTERFACE AND METHOD OF USE

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed November 15, 2001, Applicant respectfully submits a Power of Attorney, a Preliminary Amendment, and an Information Disclosure Statement.

Our check in the amount of \$1314.00 covering the filing fees and the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380.

Dated: January 15, 2002

Respectfully submitted,

By Michael J. Fogarty, III
Michael J. Fogarty, III
Registration No.: 42,541
FULBRIGHT & JAWORSKI L.L.P.
2200 Ross Avenue
Suite 2800
Dallas, Texas 75201
(214) 855-8000
(214) 855-8200 (Fax)
Attorneys for Applicant

FREE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☐ Application claims small entity status. See 37 CFR 1.27

| | |
|--------------------------------|----------------------|
| TOTAL AMOUNT OF PAYMENT | (\$) 1,314.00 |
|--------------------------------|----------------------|

Complete if Known

| | |
|----------------------|-----------------------------|
| Application Number | 09/973,479 |
| Filing Date | October 8, 2001 |
| First Named Inventor | Peter Hartmaier |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | 2681 |
| Attorney Docket No. | 51410/P001CP1C1CP1/10105303 |

METHOD OF PAYMENT (check all that apply)

| | | | | | | | | | |
|-------------------------------------|-------|--------------------------|-------------|--------------------------|-------------|--------------------------|-------|--------------------------|------|
| <input checked="" type="checkbox"/> | Check | <input type="checkbox"/> | Credit Card | <input type="checkbox"/> | Money Order | <input type="checkbox"/> | Other | <input type="checkbox"/> | None |
|-------------------------------------|-------|--------------------------|-------------|--------------------------|-------------|--------------------------|-------|--------------------------|------|

☐ Deposit Account

Deposit
Account
Number

06-2380

Deposit
Account
Name

Fulbright & Jaworski L.L.P.

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity | Small Entity |
|---------------------------------|--|--|
| 1. Revenue Recognition | Revenue is recognized when the performance obligation is satisfied, which is typically when control of the goods or services is transferred to the customer. | Revenue is recognized when the performance obligation is satisfied, which is typically when control of the goods or services is transferred to the customer. |
| 2. Expense Recognition | Expenses are recognized when the related asset is consumed or the liability is incurred, which is typically when the performance obligation is satisfied. | Expenses are recognized when the related asset is consumed or the liability is incurred, which is typically when the performance obligation is satisfied. |
| 3. Asset Recognition | Assets are recognized when the company has control over the resource, which is typically when the performance obligation is satisfied. | Assets are recognized when the company has control over the resource, which is typically when the performance obligation is satisfied. |
| 4. Liability Recognition | Liabilities are recognized when the company has an obligation to transfer resources, which is typically when the performance obligation is satisfied. | Liabilities are recognized when the company has an obligation to transfer resources, which is typically when the performance obligation is satisfied. |
| 5. Equity Recognition | Equity is recognized when the company has a residual interest in the assets, which is typically when the performance obligation is satisfied. | Equity is recognized when the company has a residual interest in the assets, which is typically when the performance obligation is satisfied. |

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

| | | |
|---------------------|-------------|-------------|
| SUBTOTAL (1) | (\$) | 0.00 |
|---------------------|-------------|-------------|

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | Extra Claims | | Fee below | | Fee Paid |
|--------------------|----|---------|--------------|---|-----------|---|----------|
| Total Claims | 26 | -20** = | 6 | x | 18.00 | = | 108.00 |
| Independent Claims | 7 | -3** = | 4 | x | 84.00 | = | 336.00 |
| Multiple Dependent | | | | | | = | |

| | Large Entity | Small Entity |
|---------------------------------|--|--|
| 1. Revenue Recognition | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer. | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer. |
| 2. Expense Recognition | Expenses are recognized when the related revenue is recognized, or when the expense is incurred, whichever is earlier. | Expenses are recognized when the related revenue is recognized, or when the expense is incurred, whichever is earlier. |
| 3. Asset Recognition | Assets are recognized when the entity has control over the resource, and the resource is expected to generate future economic benefits. | Assets are recognized when the entity has control over the resource, and the resource is expected to generate future economic benefits. |
| 4. Liability Recognition | Liabilities are recognized when the entity has a present obligation to transfer economic resources in the future, and the obligation is expected to result in an outflow of resources. | Liabilities are recognized when the entity has a present obligation to transfer economic resources in the future, and the obligation is expected to result in an outflow of resources. |
| 5. Equity Recognition | Equity is recognized as the residual interest in the entity's assets, after deducting all liabilities. | Equity is recognized as the residual interest in the entity's assets, after deducting all liabilities. |

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | <u>Fee Description</u> |
|----------|----------|----------|----------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

| | | |
|---------------------|-------------|---------------|
| SUBTOTAL (2) | (\$) | 444.00 |
|---------------------|-------------|---------------|

****or number previously paid, if greater; For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | 130.00 |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | 131 | | Basic Filing fee - Utility (CPA) | 740.00 |

SUBMITTED BY

| | |
|-------------------|-------------------------|
| Name (Print/Type) | Michael J. Fogarty, III |
|-------------------|-------------------------|

| | |
|--------------------------------------|--------|
| Registration No. (Attorney/Agent) | 42,541 |
|--------------------------------------|--------|

Complete (if applicable)

| | |
|-----------|----------------|
| Telephone | (214) 855-8172 |
|-----------|----------------|

Signature

Michael J. Foran, For

Date _____

January 15, 2002

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 01/15/02

Signature _____

Eileen Cortez (Eileen Cortez)